

## ***Audit and Risk Management Work Programme 2014***

Date	Items
28 January	<ul style="list-style-type: none"> <li>• Strategic Risk Review - SR3 Financial Stability</li> <li>• Strategic Risk Review - SR14 Longer term financial viability</li> <li>• Strategic Risk Review – SR11 Pond Flood Risk</li> <li>• Committee Effectiveness Review – annual update</li> </ul>
4 March	<ul style="list-style-type: none"> <li>• 2014/15 Internal audit plan</li> <li>• Internal Audit Progress Report</li> <li>• Internal audit recommendations follow-up report</li> <li>• Investigation Update report</li> <li>• Risk Management Update</li> <li>• Strategic Risk Review - SR4 Planning Policy</li> <li>• Strategic Risk Review - SR5 Flooding in the City</li> <li>• Annual Governance Statement - methodology</li> </ul>
13 May	<ul style="list-style-type: none"> <li>• Internal Audit Progress Report</li> <li>• Internal audit recommendations follow-up report</li> <li>• Anti-Fraud &amp; Investigation Update report</li> <li>• Risk Management Update</li> <li>• Strategic Risk Review - SR2 Supporting the Business City</li> <li>• Strategic Risk Review - SR6 Project Risk</li> <li>• Head of Internal Audit Opinion and Annual report</li> <li>• HMIC Police Inspections Summary report</li> <li>• Annual Governance Statement – 2013/14</li> <li>• Private Member meeting with Head of Internal Audit</li> </ul>
22 July	<ul style="list-style-type: none"> <li>• Audited 2013/14 City Fund and Pension Fund Financial Statements together with Deloitte's report thereon</li> <li>• Audited 2013/14 Bridge House Estates and Sundry Trusts Financial Statements together with Deloitte's report thereon</li> <li>• Audited 2013/14 City's Cash and City's Cash Trust Funds Financial Statements together with Moore Stephens report thereon</li> </ul>

9 September	<ul style="list-style-type: none"> <li>• Internal Audit Progress Report</li> <li>• Internal audit recommendations follow-up report</li> <li>• Investigations Update report</li> <li>• Risk Management Update</li> <li>• 2 Strategic Risk Reviews – tbc</li> </ul>
4 November	<ul style="list-style-type: none"> <li>• Internal Audit Planning for 2014/15</li> <li>• 2 Strategic Risk Reviews – tbc</li> </ul>
8 December	<ul style="list-style-type: none"> <li>• Deloitte's Annual Audit Letter on the City Fund and Pension Fund Financial Statements <ul style="list-style-type: none"> <li>• Deloitte's annual audit plan for City Fund Financial Statements including agreement of the audit fee</li> </ul> </li> <li>• Deloitte's annual audit plan for the Pension Fund Financial Statements including agreement of the audit fee</li> <li>• Moore Stephens - annual audit plan for the Non Local Authority Funds including agreement of the audit fee</li> <li>• Internal Audit Progress Report</li> <li>• Internal audit recommendations follow-up report</li> <li>• Anti-Fraud &amp; Investigation Update report</li> <li>• Risk Management Update</li> </ul>